

UBS MTF Market Notice

Double Volume Cap Update

10 June 2019

Dear Member,

Following the ESMA update published on 7 June, UBS MTF would like to inform you of two double volume cap suspension changes this week.

- Effective from the start of trading on **Tuesday, 12 June 2019**, the **DVC suspension ends for 27 instruments** and therefore these instruments will again be available for trading under the Reference Price Waiver
- Effective from the start of trading on **Wednesday, 13 June 2019**:
 - a **six-month suspension commences for 14 instruments**, which means that they will not be available under the Reference Price Waiver during this period. These capped instruments remain available for trading under the Large in Scale waiver as well as on our Periodic Auction Order Book, and
 - an additional **six-month re-suspension, for 2 instruments**, will also take effect at the same time.

Our daily Stock Universe file, published on our website at <https://www.ubs.com/global/en/investment-bank/ib/multilateral-trading-facility/reference-data.html> and by SFTP, will be updated to reflect the suspensions and resumptions for trading on each date. A list of the affected instruments is attached to this notice.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 207 568 2052 or ubs_mtf@ubs.com.

UBS MTF Management

Notice N16 2019

UBS MTF Notices and documentation are available at <https://www.ubs.com/mtf>. If you have any queries regarding this notice, or comments on the above, please contact the UBS MTF Supervisors at +44 20 7568 2052 or ubs_mtf@ubs.com.

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Instruments for which suspension from execution under reference price waiver is to be revoked

SYMBOL	ISIN	NAME
ABBNz	CH0012221716	ABB Ltd
AEVSz	CH0478634105	AEVIS VICTORIA SA
AKAo	NO0010215684	Akastor ASA
AOI	GB00BJTNFH41	AO World PLC
BALNz	CH0012410517	Baloise Holding AG
BFFm	IT0005244402	Banca Farmafactoring SpA
BFSAd	LU1704650164	Befesa SA
BNZLI	GB00B0744B38	Bunzl PLC
BUCNz	CH0002432174	Bucher Industries AG
COAI	GB00B4YZN328	Coats Group PLC
CONz	CH0244017502	Conzzeta AG
ENXp	NL0006294274	Euronext NV
FHZNz	CH0319416936	Flughafen Zurich AG
FINz	CH0001752309	Georg Fischer AG
GFTUI	IE00B00MZ448	Grafton Group PLC
GLTRI	US37949E2046	Globaltrans Investment PLC
LATp	FR0000032278	Latecoere SACA
LUKNz	CH0011693600	Luzerner Kantonalbank AG
OXIGI	GB0006650450	Oxford Instruments PLC
PDGI	GB00B1JQBT10	Pendragon PLC
PROBs	SE0001280355	Probi AB
PUREI	BMG7300G1096	PureCircle Ltd
SAGAI	GB00BLT1Y088	Saga PLC
STMNz	CH0012280076	Straumann Holding AG
TKBPz	CH0231351104	Thurgauer Kantonalbank
VAR1d	DE000A0TGJ55	Varta AG
VETz	CH0006227612	Vetropack Holding AG

Instruments to be suspended from execution under reference price waiver

SYMBOL	ISIN	NAME	END_DATE	SCOPE	TYPE
ETOI	CA29382B1022	Entertainment One Ltd	2019-12-12	EU Level	New suspension
SGKNz	CH0011484067	St Galler Kantonalbank AG	2019-12-12	EU Level	New suspension
JFNz	CH0017875789	Jungfraubahn Holding AG	2019-12-12	EU Level	New suspension
ALPHz	CH0034389707	Alpiq Holding AG	2019-12-12	EU Level	New suspension
BSLd	DE0005102008	Basler AG	2019-12-12	EU Level	New suspension
PATd	DE000PAT1AG3	PATRIZIA Immobilien AG	2019-12-12	EU Level	New suspension
FlIp	FR0000050353	LISI	2019-12-12	EU Level	New suspension
ELMI	GB0002418548	Elementis PLC	2019-12-12	EU Level	New suspension
IPOI	GB00B128J450	IP Group PLC	2019-12-12	EU Level	New suspension
SAFEI	GB00B1N7Z094	Safestore Holdings PLC	2019-12-12	EU Level	New suspension
VVOI	GB00BDGT2M75	Vivo Energy PLC	2019-12-12	EU Level	New suspension
TIMd	GB00BHD66J44	Zeal Network SE	2019-12-12	EU Level	New suspension
DHGi	IE00BJMZDW83	Dalata Hotel Group PLC	2019-12-12	EU Level	New suspension
RAYBs	SE0000135485	RaySearch Laboratories AB	2019-12-12	EU Level	New suspension
TTALOh	FI4000252127	Terveystalo Oyj	2019-12-12	EU Level	Re-suspension
BOWLI	GB00BD0NVK62	Hollywood Bowl Group PLC	2019-12-12	EU Level	Re-suspension