

These shareholder metrics represent the key operations of NZX's business.

Core Markets

NZX Listed Issuers				Jan 2023	Change YoY	Capital Listed and Raised				Jan 2023	YTD	Change YoY	
Total equity securities				133	-2.9%	Total capital listed and raised				\$72 m	\$72 m	-71.9%	
Total fund securities				49	2.1%	New Capital Listed							
Total retail debt securities				145	3.6%	Total capital listed by all issuers				-	-	n/a	
Total wholesale debt securities				9	12.5%	Equity listings				-	-	n/a	
Total other securities				2	-33.3%	Fund listings				-	-	n/a	
Total listed securities				338	0.6%	Retail debt listings				-	-	n/a	
						Wholesale debt listings				-	-	n/a	
Total NZX Main Board equity issuers				132	-2.9%	Secondary Capital Raised							
Total NZX Main Board fund issuers				49	2.1%	Total capital raised by all issuers				\$72 m	\$72 m	-71.9%	
Total NZX Debt Market retail debt issuers				50	6.4%	Total equity raised - NZ issuers				\$3 m	\$3 m	-98.1%	
Total NZX Debt Market wholesale debt issuers				2	-	Total equity raised - dual or foreign issuer				\$0 m	\$0 m	n/a	
Total other issuers				2	-33.3%	Total funds raised - NZ issuers				\$46 m	\$46 m	-34.2%	
Total unique issuers				209	0.5%	Total funds raised - dual or foreign issuer				\$23 m	\$23 m	93.9%	
						Total retail debt raised				-	-	n/a	
						Total wholesale debt raised				-	-	n/a	
Market Capitalisation				Jan 2023	Change YoY	% of GDP	Total number of secondary capital raising events						
Total market capitalisation				\$223,318 m	2.9%	59.8%	Equity issuers - capital raised < \$10m				88	88	-48.2%
All equity				\$162,641 m	-2.6%	43.5%	Equity issuers - capital raised ≥ \$10m < \$100m				6	6	-33.3%
All funds				\$7,791 m	2.9%	2.1%	Equity issuers - capital raised ≥ \$100m				-	-	n/a
All other				\$2 m	-83.2%	-	Equity issuers - capital raised ≥ \$100m				-	-	-100.0%
Total NZX Main Board				\$170,434 m	-2.4%	45.6%	Fund issuers - capital raised				82	82	-48.8%
Retail debt				\$39,129 m	15.2%	10.5%	Retail debt issuers - capital raised				-	-	n/a
Green bonds				\$5,710 m	47.4%	1.5%	Wholesale debt issuers - capital raised				-	-	n/a
Wholesale debt				\$8,045 m	30.4%	2.2%	Clearing House / Depository						
Total NZX Debt Market				\$52,884 m	20.2%	14.2%	Gross value of cleared trades over month				\$2,129 m	\$2,129 m	-15.1%
							Assets under custody in depository				\$6,572 m	\$6,572 m	9.4%
Cash Market				Jan 2023	Change YoY	Depository Uplifts							
Total value traded				\$2,124 m	-15.3%	Settlement Lines							
Auction Value Traded				\$469 m	-20.4%	OTC Trades							
On-market value traded				\$1,360 m	-21.0%								
% of value on-market				64.0%	-6.8%								
Total trades				795,864	-17.9%								
Daily average value traded				\$106 m	-19.5%								
Daily average trades				39,793	-22.0%								
Total Equity Transactions							Derivatives						
Total trades				792,554	-18.0%	Futures - total lots traded							
Value traded				\$1,997 m	-16.9%	Options - total lots traded							
% of value on-market				66.4%	-5.6%	Total derivatives lots traded							
Average on-market trade size				\$1,678	-4.3%	Total derivatives lots traded - YTD							
Total Debt Transactions							Open interest						
Total trades				3,310	2.1%	Notional value traded (\$USD)							
Value traded				\$127 m	21.4%	Options to futures ratio							
Cumulative Numbers - YTD							Negotiated Large Trade (NLT) %						
Number of trades				795,864	-17.9%	Energy and Environment Contracts							
Total value traded				\$2,124 m	-15.3%	Operating / Technology contracts							
% of value on-market				64.0%	-6.8%	- contracts ≥ \$500k p.a.							
							- contracts < \$500k p.a.						

NZX Indices Performance			Wholesale Data		
	Jan 2023	Change YoY		Jan 2023	Change YoY
S&P/NZX 50 Index (Gross)	11,968	0.7%	Terminal numbers - professional	6,633	-1.6%
S&P/NZX 50 Index (Capital)	4,751	-2.2%	Terminal numbers - retail	1,048	-36.2%
S&P/NZX 50 Portfolio Index	7,590	-0.3%	Licences - value ≥ \$20k p.a.	63	1.6%
S&P/NZX NZ Fixed Interest Composite Index	115	-4.3%	Licences - value < \$20k p.a.	83	10.7%
S&P/NZX A-Grade Bond Index	5,528	-2.5%			

Direct Data Products			Dairy Data Subscriptions		
	Jan 2023	Change YoY		Jan 2023	Change YoY
Proprietary security subscription products	308	-0.3%	Dairy data subscriptions	437	-1.4%
- products ≥ \$3,000 p.a.	104	-5.5%	- products ≥ \$1,500 p.a.	106	-
- products < \$3,000 p.a.	204	2.5%	- products < \$1,500 p.a.	331	-1.8%

Smartshares

	Jan 2023	Change YoY
Total external funds under management	\$8,586 m	37.8%

Scheme FUM		
	Jan 2023	Change YoY
SuperLife Kiwisaver	\$1,868 m	5.4%
Workplace Savings Master Trusts	\$2,969 m	112.4%
Smartshares Exchange Traded Funds - External	\$2,358 m	7.0%
Smartshares Exchange Traded Funds - Total (external and internal)	\$6,035 m	7.1%

Other Metrics		
	Jan 2023	Change YoY
Units on issue	2,204 m	12.1%
Value of stock lent over month	\$112 m	-18.0%

Wealth Technologies

Funds under administration	\$10,350 m	-2.1%
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Notes

- All figures are measured at the end of the last trading day of each month unless otherwise stated. Change refers to the change since the same period last year unless otherwise stated.
- Equity market capitalisation includes all securities quoted on the NZX Main Board (NZSX). With an overseas domiciled Issuer, the market capitalisation represents the amount that is held in New Zealand.
- Market capitalisation for the NZX Debt Market is the total nominal (face) value of all listed securities, excluding New Zealand Government stock.
- Funds include exchange traded funds, managed funds, listed investment companies and trusts.
- "Other" securities include rights, warrants and options quoted on the NZX Main Board (NZSX).
- Please note these operational statistics are based on NZX's internal records, which are unaudited. Differences may arise between this data and that disclosed in subsequent audited financial statements. Differences may also arise between this data and the previously reported data due to rounding and classification restatements.

To enquire about information listed on this sheet, or to find out more about NZX products or services, please email: data@nzx.com