



## NZX Limited - Monthly Shareholder Metrics

### July 2017

These Shareholder Metrics represent the key features of NZX's operations in each of its core businesses. The Metrics focus on what drives the revenue performance of NZX, so as to give a consistent and transparent comparison across time periods for each business line.

## Markets

NZX Listed Issuers			Jul 2017	Change YoY
Total Equity Securities	163	-4.7%		
Total Listed Debt Securities	116	18.4%		
Total Other Securities	26	-3.7%		
Total Listed Securities	305	3.0%		
Total NZX Main Board Issuers	162	-3.6%		
Total NZAX Issuers	17	-5.6%		
Total NXT Issuers	4	0.0%		
Total NZDX Issuers	44	4.8%		

Capital Raising	Jul 2017	YTD
<b>New Capital Listed</b>		
IPOs and Compliance Listings	\$0.00 b	\$0.48 b
New Debt Listings	\$0.55 b	\$2.09 b
<b>Secondary Capital Raisings</b>		
Total Capital Raised By All Issuers	\$362 m	\$2,690 m
Total Equity Raised - Primary Issuers	\$219 m	\$594 m
Total Equity Raised - Dual & Secondary Issuers*	\$0 m	\$1,312 m
Total Debt Raised	\$143 m	\$784 m
Number of Capital Raising Events	14	91
Capital Raised < \$0.5m	0	12
Capital Raised > \$0.5m < \$10m	5	40
Capital Raised > \$10m < \$100m	9	36
Capital Raised > \$100m	0	3

Market Capitalisation	Jul 2017	Change YoY	% of GDP
All Equity	\$125.9 b	1.0%	47.6%
All Debt	\$28.0 b	23.5%	10.6%

NZX Indices Performance			Jul 2017	Change YoY
S&P/NZX 50 Index	7,694	4.7%		
S&P/NZX 50 Portfolio Index	4,786	6.4%		
S&P/NZX A-Grade Bond Index	5,056	1.3%		
S&P/NZX All Swap Index	198	0.4%		

Cash Market Trading			Jul 2017	Change YoY
Total Trades	151,613	23.6%		
Daily Average Trades	7,220	23.6%		
Total Trades < \$50k	142,623	25.4%		
Total Value Traded	\$3.5 b	2.7%		
Daily Average Value Traded	\$165 m	2.7%		
<b>Total Equity Transactions</b>				
Total Trades	148,115	24.3%		
Value Traded	\$3.3 b	3.8%		
<b>Total Debt Transactions</b>				
Total Trades	3,498	-1.1%		
Value Traded	\$161 m	-15.1%		
<b>Cumulative Numbers - YTD</b>				
Number of Trades	1,074,691	2.8%		
Total Value Traded	\$23.3 b	-10.7%		
Clearing House / Depository			Jul 2017	Change YoY
Gross Value of Cleared Trades over Month	\$3.5 b	3.9%		
Collateral Held At Month End	\$55.8 m	28.0%		
Depository transactions	2,286	12.4%		
Assets under custody in depository	\$426 m	15.6%		
Value of stock lent over month	\$459 m	-3.1%		
Wholesale Data			Jul 2017	Change YoY
Terminal Numbers - Professional*	6,110	2.8%		
Terminal Numbers - Retail*	1,225	-2.7%		
Licences - Value > \$20k pa	34	21.4%		
Licences - Value < \$20k pa	59	-6.3%		

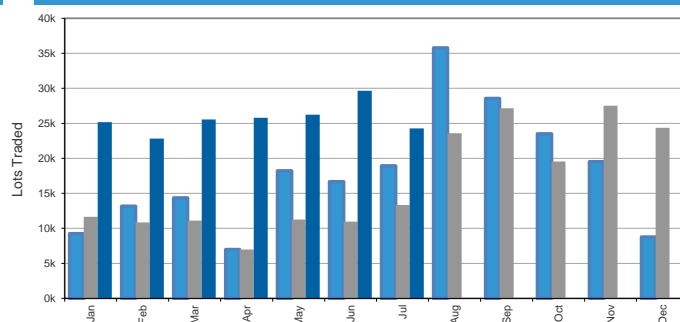
Direct Data Products			Jul 2017	Change YoY
Proprietary Security Subscription Products	390	5.4%		
- Products ≥ \$3,000 p.a	151	13.5%		
- Products < \$3,000 p.a.	239	0.8%		

\*This figures includes a correction from the June metrics where a capital raising event was incorrectly classified

\* 3 month average

Derivatives	Jul 2017 Change YoY	
Futures - Total Lots Traded	20,811	60.7%
Options - Total Lots Traded	3,470	813.2%
Open Interest	53,562	110.3%

Derivatives Total Lots Traded 2015 / 2016 / 2017



Energy Contracts	Jul 2017
Operating / Technology Contracts	17
- Contracts > = \$500k p.a.	4
- Contracts < \$500k p.a.	13

## Funds Services

SuperLife	Jul 2017	Change YoY
Funds Under Management - KiwiSaver	\$674 m	17.6%
Funds Under Management - Other	\$1,146 m	14.6%
Total	\$1,821 m	15.7%

Smartshares	Jul 2017	Change YoY
Funds Under Management - External	\$588 m	21.3%
Funds Under Management - SuperLife funds	\$1,315 m	13.1%
Total	\$1,903 m	15.5%
Units on Issue	836 m	17.5%

NZX Wealth Technologies	Jul 2017	Change YoY
Funds Under Administration	\$1,212 m	-7.6%
Renamed from Apteryx		

## Agri

Direct Data Products	Jul 2017	Change YoY
Subscriptions - NZ Agri Data Products	2,626	13.9%
Subscription - Australian Agri Data Products	1,485	-7.2%
Total Paid Advertising Page Equivalents*	74	50.6%
Total Paid Advertising Page Equivalents YTD*	725	5.7%

\* Total paid advertising page equivalents relates to Farmers Weekly only.

## Notes

1. All figures are measured at the end of the last trading day of the month unless otherwise stated. Change refers to the change since the same period last year unless otherwise stated i.e. Change YoY is change versus the same period last year.
2. All Equity Market Capitalisation includes all securities quoted on the NZX Main Board, NZX Alternative Market and NXT Market.
3. Market Capitalisation for the NZX Debt market is the total nominal (face) value of all quoted securities excluding New Zealand Government Stock.
4. NZX Limited wishes to remind readers that the above operational statistics are based on the Group's internal records, which are unaudited. Differences may arise between this data and that disclosed in subsequent audited financial statements.

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