

## NZX Limited - Monthly Shareholder Metrics July 2013

**CASH MARKET** 

GRAIN TRADING

These Shareholder Metrics represent the key features of NZX's operations in the core business of Markets, Infrastructure and Information. The Metrics focus on what drives the revenue performance of NZX, so as to give an accurate, consistent and transparent comparison across time periods for each business line.

## MARKETS

NZX LISTED SECURITIES	JULY 2013	CHANGE YOY
Total Equity Securities	166	-1.8%
Total Listed Debt Securities	89	-12.7%
Total Other Securities	6	-25.0%
Total Listed Securities	261	-6.5%
CAPITAL RAISED	JULY 2013	YEAR TO DATE
Total Capital Raised By All Issuers	\$1,458 million	\$2,343 million
- Total Equity Raised - Primary	\$954 million	\$1,596 million
- Total Equity Raised - Dual & Secondary	\$504 million	\$654 million
- Total Debt Raised	\$0 million	\$93 million
Number of Capital Raising Events	17	135
- Capital Raised < \$0.5m	7	58
- Capital Raised > \$0.5m < \$10m	3	50
- Capital Raised > \$10m < \$100m	3	23
- Capital Raised > \$100m	4	4
New Capital Listed	\$0.5 billion	\$4.3 billion

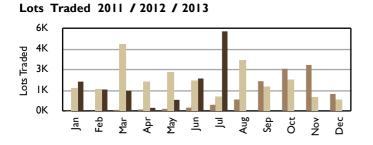
TRADING		YOY
Total Trades	93,780	35.3%
Average Daily Trades	4,077	29.4%
Total Trades < \$50k	87,211	35.1%
Total Value Traded	\$2.6 billion	11.7%
Daily Average Value Traded	\$111 million	6.9%
Total Equity Transactions		
Total Trades	90,953	36.9%
Value Traded	\$2.5 billion	13.4%
Total Debt Transactions		
Total Trades	2,827	-1.6%
Value Traded	\$87 million	-22.0%
Cumulative Numbers - YTD		
Number of Trades	622,356	19.9%
Total Value Traded	\$23.4 billion	49.5%
SMARTSHARES	JULY 2013	CHANGE YOY
Funds Under Management	\$334 million	11.4%
Units on Issue	166 million	-3.7%
Number of Funds	5	0.0%

JULY 2013 CHANGE

MARKET CAPITALISATION	JULY 2013 CH	IANGE % YOY GDP
All Equity	\$76.5 billion	29.4% 36.1.%
All Debt	\$13.6 billion	-10.1% 6.4%
LINK MARKET SERVICES	JULY 2013	CHANGE YOY
Total Number of Issuers	154	5.5%

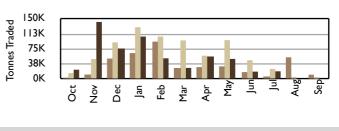
INDICES PERFORMANCE	JULY 2013	CHANGE YOY
NZX 50	4,538	28.0%
NZX 50 Portfolio	2,760	31.4%
ANZ A-Grade Bond Index	4,077	3.8%
ANZ All Swaps Index	162	0.3%

### DERIVATIVES



	July 2013	CHANGEYOY
Lots Traded	5,337	439.1%
Open Interest	7,546	33.6%

#### Tonnes Traded Monthly (10/11 v 11/12 v 12/13 season)



	SEASON-TO-DATE	CHANGE YOY
Tonnes Traded	574,947	-20.2%

# **INFORMATION**

WHOLESALE DATA	JULY 2013	CHANGE YOY
Primary Data Distributors	30	-3.2%
Real Time Data Terminals	6,774	-1.6%
Other Data Distributors	56	1.8%
DIRECT DATA PRODUCTS	JULY 2013	CHANGE YOY
Dairy Information Subscriptions	9,466	0.0%
Grain Information Subscriptions	1,856	-13.2%
General Agri Subscriptions	10,100	1.0%
Proprietary Security Subscription Products	531	-2.4%
- Products >= \$3000 p.a.	185	-3.1%
- Products < \$3000 p.a.	346	-2.0%
Total Subscriptions	21,953	-0.9%
Total Paid Advertising Page Equivalents	151	-20.9%

# **INFRASTRUCTURE**

CLEARING HOUSE/DEPOSITORY	JULY 2013	CHANGE YOY
Gross value of cleared trades over month	\$2.6 billion	11.7%
Collateral held at month end	\$24.6 million	103.3%
Depository transactions	2,299	37.1%
Assets under custody in depository	\$194.0 million	18.5%
Value of stock lent over month	\$254.2 million	71.6%
MARKET OPERATIONS		JULY 2013
Operating / Technology Contracts		14
- Contracts >= \$500k p.a.		6

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- Contracts < \$500k p.a.

### NOTES

- 1. All figures are measured at the end of the last trading day of the month. Change refers to the change since the same period last year unless otherwise stated i.e. Change YoY is change versus the same period last year.
- 2. New Capital Listed refers to the initial market capitalisation of Initial Public Offerings.
- 3. All Equity Market Capitalisation includes all securities quoted on the NZX Main Board and NZX Alternative Market.
- 4. Market Capitalisation for the NZX Debt market is the total nominal (face) value of all quoted securities excluding New Zealand Government Stock.
- 5. The Grain Trading season in Australia runs from October to September.
- 6. NZX Limited wishes to remind readers that the above operational statistics are based on the Group's internal records, which are unaudited. Differences may arise between data and that disclosed in subsequent audited financial statements.

To enquire about NZX products or services, please contact: NZX Data on +64-4-4714390 or email: <u>info@nzx.com</u>.

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