



## NZX Limited - Monthly Shareholder Metrics

### August 2013

These Shareholder Metrics represent the key features of NZX's operations in the core business of Markets, Infrastructure and Information. The Metrics focus on what drives the revenue performance of NZX, so as to give an accurate, consistent and transparent comparison across time periods for each business line.

#### Markets

NZX Listed Issuers	Aug 2013	Change YoY	Cash Market Trading	Aug 2013	Change YoY
Total Equity Securities	165	-1.8%	Total Trades	98,457	32.7%
Total Listed Debt Securities	89	-12.7%	Daily Average Trades	4,475	38.7%
Total Other Securities	6	-25.0%	Total Trades < \$50k	90,879	33.2%
Total Listed Securities	260	-6.5%	Total Value Traded	\$3.4 b	18.3%
			Daily Average Value Traded	\$156 m	23.7%

Capital Raising	Aug 2013	YTD	Total Equity Transactions		
Total Capital Raised By All Issuers	\$144 m	\$2,487 m	Total Trades	95,677	34.8%
Total Equity Raised - Primary	\$142 m	\$1,738 m	Value Traded	\$3.4 b	19.3%
Total Equity Raised - Dual & Secondary	\$2 m	\$656 m			
Total Debt Raised	\$0 m	\$93 m			
Number of Capital Raising Events	15	150	Total Debt Transactions		
Capital Raised < \$0.5m	8	66	Total Trades	2,780	-14.3%
Capital Raised > \$0.5m < \$10m	4	54	Value Traded	\$83 m	-10.1%
Capital Raised > \$10m < \$100m	3	26			
Capital Raised > \$100m	0	4	Cumulative Numbers - YTD		
New Capital Listed	\$1.5 b	\$5.8 b	Number of Trades	720,813	21.5%
			Total Value Traded	\$26.8 b	44.6%

Market Capitalisation	Aug 2013	Change YoY	% of GDP	Smartshares	Aug 2013 Change YoY	
All Equity	\$77.9 b	27.5%	37.2%	Funds Under Management	\$341 m	13.0%
All Debt	\$13.6 b	-10.5%	6.5%	Units on Issue	167 m	0.2%

Link Market Services	Aug 2013 Change YoY	NZX Indices Performance	Aug 2013 Change YoY		
Total Number of Issuers	154	5.5%	NZX 50 Index	4,541	23.8%
		NZX 50 Portfolio Index	2,747	25.3%	
		ANZ A-Grade Bond Index	4,076	3.1%	
		ANZ All Swap Index	161	-0.6%	

#### Derivatives

Lots Traded 2011 / 2012 / 2013	Aug 2013	Change YoY	Tonnes Traded Monthly (10/11 v 11/12 v 12/13 season)	Season to date	Change YoY
Lots Traded	8,146	138.3%			
Open Interest	14,642	157.6%			
			Tonnes Traded		
			Oct	10	10
			Nov	10	10
			Dec	80	80
			Jan	110	110
			Feb	90	90
			Mar	80	80
			Apr	40	40
			May	40	40
			Jun	10	10
			Jul	10	10
			Aug	40	40
			Sep	10	10

## Information

Wholesale Data	Aug 2013	Change YoY
Primary Data Distributors	28	-9.7%
Real Time Data Terminals	6,813	0.3%
Other Data Distributors (Secondary)	55	0.0%

Direct Data Products	Aug 2013	Change YoY
Dairy Information Subscriptions	9,404	-0.8%
Grain Information Subscriptions	1,853	-13.6%
General Agri Subscriptions	10,092	0.9%
Proprietary Security Subscription Products	529	-1.3%
- Products >= \$3,000 p.a.	183	-2.1%
- Products < \$3,000 p.a.	346	-0.9%
Total Subscriptions	21,878	-1.3%
Total Paid Advertising Page Equivalents	178	14.8%

## Infrastructure

Clearing House / Depository	Aug 2013	Change YoY
Gross Value of Cleared Trades over Month	\$3.5 b	20.6%
Collateral Held At Month End	\$24.4 m	37.9%
Depository transactions	2,026	12.1%
Assets under custody in depository	\$195 m	19.7%
Value of stock lent over month	\$142 m	-21.2%

Energy and Market Infrastructure Contracts	Aug 2013
Operating / Technology Contracts	14
- Contracts > = \$500k p.a.	6
- Contracts < \$500k p.a.	8

## Notes

1. All figures are measured at the end of the last trading day of the month. Change refers to the change since the same period last year unless otherwise stated i.e. Change YoY is change versus the same period last year.
2. New Capital Listed refers to the initial market capitalisation of Initial Public Offerings.
3. All Equity Market Capitalisation includes all securities quoted on the NZX Main Board and NZX Alternative Market.
4. Market Capitalisation for the NZX Debt market is the total nominal (face) value of all quoted securities excluding New Zealand Government Stock.
5. The Grain Trading season in Australia runs from October to September.
6. NZX Limited wishes to remind readers that the above operational statistics are based on the Group's internal records, which are unaudited. Differences may arise between this data and that disclosed in subsequent audited financial statements.

**To enquire about NZX products or services, please contact: NZX Data on +64-4-471 4390  
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