

Appendix 1

Overview of the CES P Elite

Index Abbreviation	CES P Elite
Index Code	CES CPE
Constituents	30 largest Mainland Private Enterprises listed on SEHK, NYSE, NASDAQ or AMEX.
Launch Date	23 January 2017
Base Date	31 December 2008
Base Value	1,000
Number of Constituents	30
Calculation and Dissemination Frequency	Once a day, after the close of trading
Calculation Methodology	Free float-adjusted market capitalisation weighted, with a 15% cap
Review Frequency	Half-yearly
End of Day Index (Currency)	USD & HKD
Total Return Index (Currency)	USD & HKD

Notes

SEHK – The Stock Exchange of Hong Kong Limited

NYSE – New York Stock Exchange

NASDAQ – NASDAQ Stock Market

AMEX – American Stock Exchange

USD – US dollar

HKD – Hong Kong dollar

Appendix 2

CES P Elite Constituents and Weightings (Pro forma and subject to final announcement)

(as at 30 December 2016)

Stock Code	Stock Name	Weighting (%)
0700.HK	TENCENT HOLDINGS LTD	15.77%
BIDU.O	BAIDU INC	15.05%
BABA.N	ALIBABA GROUP HOLDING LTD	14.72%
2318.HK	PING AN INSURANCE GROUP CO OF CHINA LTD	8.78%
JD.O	JD.COM INC	8.30%
CTRP.O	CTRIP.COM INTERNATIONAL LTD	5.81%
NTES.O	NETEASE INC	5.72%
1988.HK	CHINA MINSHENG BANKING CORP LTD	2.50%
2018.HK	AAC TECHNOLOGIES HOLDINGS INC	2.25%
1044.HK	HENGAN INTERNATIONAL GROUP CO LTD	1.83%
2007.HK	COUNTRY GARDEN HOLDINGS CO LTD	1.64%
0151.HK	WANT WANT CHINA HOLDINGS LTD	1.63%
VIPS.N	VIPSHOP HOLDINGS LTD	1.52%
2313.HK	SHENZHOU INTERNATIONAL GROUP HOLDINGS LTD	1.49%
0656.HK	FOSUN INTERNATIONAL LTD	1.23%
1211.HK	BYD CO LTD	1.14%
1880.HK	BELLE INTERNATIONAL HOLDINGS LTD	1.12%
2688.HK	ENN ENERGY HOLDINGS LTD	1.05%
2020.HK	ANTA SPORTS PRODUCTS LTD	1.00%
WUBA.N	58.COM INC	0.93%
0322.HK	TINGYI CAYMAN ISLANDS HOLDING CORP	0.92%
0384.HK	CHINA GAS HOLDINGS LTD	0.90%
3333.HK	CHINA EVERGRANDE GROUP	0.86%
6808.HK	SUN ART RETAIL GROUP LTD	0.85%
0960.HK	LONGFOR PROPERTIES CO LTD	0.74%
0813.HK	SHIMAO PROPERTY HOLDINGS LTD	0.60%
0763.HK	ZTE CORP	0.44%
2196.HK	SHANGHAI FOSUN PHARMACEUTICAL GROUP CO LTD	0.42%
0241.HK	ALIBABA HEALTH INFORMATION TECHNOLOGY LTD	0.42%
3799.HK	DALI FOODS GROUP CO LTD	0.37%
Total		100.00%